



# . . . Your Trusted Business Advisor

## ASSURANCE SERVICES

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*KLR offers a full range of financial and management assurance services to help your organization reach its potential, including risk assessment services.*

*Our talented and knowledgeable Audit and Advisory Services team members offer a forward-thinking audit approach, innovative and critical thinking, technical capabilities and the flexibility to meet the changing needs of your business.*

*Our objective is to be partners in helping your business succeed. Whether we are testing your records and procedures, incorporating technology that translates financial information from trial balances into integrated financial statements or analyzing financial information, we will complete our responsibilities quickly and efficiently with minimal disruption to your normal business routines.*

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Audit and Advisory Services group provides:

- SAS 70 Audits
- Internal Controls
- Employee Benefit Plan Audits

We provide you with the information necessary to make good decisions regarding your operations . . .

**SAS 70 Audits . . .** We strive to bring a "common sense" approach to delivering assurance services. For organizations undergoing their first SAS 70 audit, it is important to engage an organization that can not only draw upon past experiences as a service auditor, but can also collaborate with your team in the pre-audit preparation phase so that the goals of the ultimate users of the SAS 70 report are realized.

We complete the SAS 70 audits in phases to include:

- Review of existing control environment and assisting management with development of the description of controls
- Pre-audit and validation of controls
- SAS 70 Type I Report Issuance
- Testing and Type II Report Issuance

**Internal Controls . . .** KLR can assist you in determining whether the system of checks and balances within your organization is adequate and functioning as intended. We can help you design the proper control framework to suit the needs, size and complexity of your organization. Our professionals are dedicated to keeping you informed and helping you make sense of it all.

**Employee Benefit Plan Audits . . .** Benefit plan audits are an integral segment of KLR's audit and advisory services. Our benefit plans specialists are uniquely qualified to assist plan sponsors and administrators with the complexities of complying with ERISA, DOL and IRS Requirements.

Our team is available to sponsors and administrators across a wide range of plan types, including:

- Defined contribution, defined benefit and health and welfare plans
- Pension and profit sharing plans
- 401(k) Plans
- 403(b) Plans

Our team is well qualified to deal with the audit requirements of ERISA, as well as those of the Department of Labor and the Internal Revenue Service. Our services include:

- Full and DOL limited scope audits
- Preparation and filing of 5500's
- Technical support in the event that the plan is selected by ERISA, DOL or the IRS for review

We have a core group of professionals that receive specialized training, both in classroom setting and on the job. The firm makes a significant investment in sending team members to annual AICPA conferences on employee benefit plan audits. In addition, KLR is a member of the AICPA's Employee Benefit Plan Audit Quality Center, a special interest group designed to assist firms in keeping up with most recent developments and training opportunities in this specialized area. We are also actively involved in the Leading Edge Alliance, Employee Benefit Plan industry group.

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## ABOUT OUR FIRM

KLR is one of New England's premier accounting and business consulting firms. With 160 team members and offices in Providence, Boston, Waltham and Newport, KLR provides a wide range of services to both individuals and businesses. Ranked one of the largest firms in New England, KLR's growth and commitment to client service is unparalleled in the industry. Communication, Cost Saving Strategies, Relationships and Excellence are the core of our commitment to you.

KLR has been awarded three Practice Innovation Awards and named one of the Best Places to Work (four years in a row). Our award-winning firm helps ensure our ability to retain the most talented professionals to support your business. To learn more about KLR's services, call us or visit our website.



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Providence ♦ Boston ♦ Waltham ♦ Newport